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Jeff Lloyd, Patent Attorney



Patent Application
Docket No. UGR-100
Serial No. 09/629,596

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Padmashri Ponnaluri
Art Unit : 1627
Applicant(s) : Michael J. Adang, Laura M. Kasman
Serial No. : 09/629,596
Date Filed : July 31, 2000
For : Phage Display of a Biologically Active *Bacillus thuringiensis* Toxin

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SUBMISSION OF SEQUENCE LISTING AND STATEMENT
UNDER 37 CFR §1.821-1.825

Sir:

Transmitted herewith in computer readable form and on a paper is a Sequence Listing under 37 CFR §§1.821 through 1.825 for the above-identified patent application. A Notice to Comply with Sequence Rules under 37 CFR §§1.821-1.825 was mailed on September 18, 2002 from the Patent and Trademark Office, and a copy of the Notice is enclosed herewith.

I hereby certify that the attached sequence listing in computer readable form is identical to the attached paper copy of the sequence listing and that no new material is added by this submission.

Respectfully submitted,

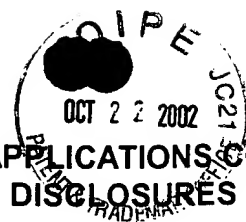

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Attachments: Sequence Listing on paper; computer readable format containing the same information as paper copy of Sequence Listing; copy of Notice from PTO; Preliminary Amendment.

COPY



Application No.: **09/629,596**

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other: ***All the sequences in the specification do not comply with the sequence rules (i.e., see specification pages 20-21 and figures)***

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

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